



05-01-07

IPW
PATENT *[Signature]*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 03-956-US)

In the Application of:

Brophy, et al.

Art Unit: TBD

Serial No.: 10/575,294

Examiner: TBD

Filed: April 12, 2006

Confirmation No. 3701

For: Novel Heat Shock Protein 20-Related
Polypeptides and Uses Therefor

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

In regard to the above-identified U.S. patent application;

1. We are transmitting herewith the attached:

- ☒ Transmittal Letter (1 sheet);
- ☒ Copy of Notice to File Missing Requirements mailed March 8, 2007 (2 sheets);
- ☒ Preliminary Amendment (3 sheets);
- ☒ Sequence Listing Compliance Statement (1 sheet);
- ☒ Sequence Listing in Paper and Computer Readable Format (15 sheets and 1 Compact Disk);
- ☒ Copy of Executed Declaration and Power of Attorney (5 sheets);
- ☒ Return Receipt Postcard

2. With respect to fees:

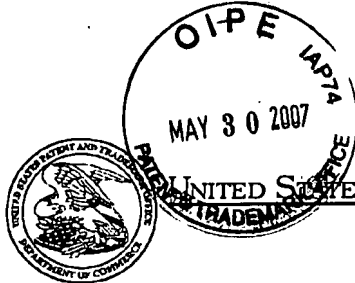
- ☒ No fee is required.
- ☒ Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

3. CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10: The undersigned hereby certifies that this Transmittal Letter and the papers, as described hereinabove, are being deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee" in a box addressed to: PO Box 1450, Alexandria, VA 22313-1450, on this 30th day of April, 2007. Express Mail No. **EV839376718US**.

Respectfully submitted,

[Signature]

David S. Harper
Registration No. 42,636



UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/575,294	Colleen Brophy	03-956-US

INTERNATIONAL APPLICATION NO.

PCT/US04/34989

I.A. FILING DATE	PRIORITY DATE
10/15/2004	10/17/2003

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DOCKETED

MAR 13 2007

DUE DATE: 5-08-07
 BY: [Signature]

Date Mailed: 03/08/2007

CONFIRMATION NO. 3701

371 FORMALITIES LETTER



OC000000022789511

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/12/2006
- Copy of the International Search Report filed on 04/12/2006
- Information Disclosure Statements filed on 04/12/2006
- Biochemical Sequence Diskette filed on 04/12/2006
- Biochemical Sequence Listing filed on 04/12/2006
- U.S. Basic National Fees filed on 04/12/2006
- Priority Documents filed on 04/12/2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- **For Rules Interpretation, call (571) 272-0951**
- **For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/575,294	PCT/US04/34989	03-956-US